

ENVIRONMENT

Summary Project Fiche

Project n°: LI9805.01

Title: Strengthening of Institutional Capacity of the Ministry of Environmental Protection (EPML) in the EU Integration Process

Location: Vilnius

Objectives:

The objective of this project is to strengthen the capacity of Lithuania's environmental administration and address recommendations made by the Commission in the Agenda 2000 and the Accession Partnership documents: continuation of transposition of framework legislation, establishment and commencement of implementation programmes, development of monitoring and implementation control structures.

Description:

Lithuania has just completed the development of the Environmental Approximation Strategy. This strategy envisages the following dates for the transposition and implementation of the Community requirements:

- 2000 - transposition of White Paper directives,
- 2002 - transposition of all environmental *acquis*,
- 2005 - implementation of Community requirements except high cost directives,
- 2010+ - implementation of high cost directives.

Strengthening of institutional capacity was identified as one of priority needs for the successful implementation of the Approximation Strategy. By addressing the identified problems, this project is designed to have three main components: (1) Institutional development, (2) Project identification and preparation and (3) Strengthening of enforcement structures.

During implementation of the first component following activities will be undertaken:

- assessment of current administration system with relation to the implementation of the Community requirements;
- preparation of the proposal how environmental system should be changed/updated/developed to reflect new needs;
- drafting of statutes for reformed/new institutions;
- drafting of legislation and implementation programmes;
- further translation of EC environmental legislation;
- support to the EC environmental documentation centre.

Second component will support implementation of new requirements by:

- development of Environmental financing plans;
- identification of technical assistance and investment projects and development of project pipeline;
- development of pre-feasibility and feasibility studies;
- preparation of technical specification and tendering documents.

Third component is aiming to:

- assess current enforcement administration system;
- evaluate efficiency of the division of functions between central, regional and local levels, propose required changes;
- develop training program and training of inspectors in monitoring and control techniques;
- assess needs for computer and communications equipment, equipment for on spot sampling and analysis, upgrading of vehicle fleet for inspectors, etc.;
- supply of identified priority needs.

Institutional framework:

The project will be carried out in close co-operation with the recipient institution - the Ministry of Environmental Protection of Lithuania. The European Integration and Project Management Units of the Ministry as well as the State Environmental Protection Inspection will be the responsible institutions for the implementation of components 1, 2, and 3 respectively from the Ministry side. The Director of the Environmental Strategy Department will ensure the overall co-ordination. During the implementation, close relations with Regional environmental protection departments and agencies, municipalities will be ensured.

For the project preparation and management activities, close co-operation will be guaranteed with the Environmental Investment Fund as well as other institutions, involved in investment planning, namely the Ministry of Economy, Ministry of Finance, counties and municipalities. The Ministry will assist in making contacts with other government and municipal institutions. As the project includes substantial administrative capacity building elements, the Ministry will ensure that recommendations made during the implementation of the project are taken into consideration at the right management level and that proposals are taken into account while restructuring the Ministry. The project will produce a number of draft regulations. The Ministry will take all the necessary steps to ensure the co-ordination of drafts with appropriate institutions and will approve or will seek approval from the Government or Parliament.

Budget:

(in thousand ECU)

	Investment	Institutional Building	Total PHARE	Recipient*	IFI*	TOTAL*
1 component		450	450			450
2 component		500	500			500
3 component	750	350	1100			1,100
TOTAL	750	1300	2050			2,050

* In case of co-financing only

Implementation arrangements:

The CFCU will be the Implementation Unit, but responsibility for the preparation of ToRs and the technical implementation of the project will rest with the Ministry of Environmental Protection. Juozapaviciaus 9, 2600 Vilnius. Contact person: Arvydas Dragūnas, Director of General Strategy Department. The project consists of 3 main components: Institutional development; Project identification and preparation; and Strengthening of enforcement structures, which will form part of a single tender.

Implementation schedule:

Start of tendering	Start of project activity	Completion
June, 1998	December 1998	December 1999

Equal opportunity:

There will be no discrimination between men and women during the project tendering and implementation.

Environment (Investment projects only): N/A

Rates of return (Investment projects only): N/A

Investment criteria (Investment projects only): N/A

Conditionality and sequencing:

The situation in the sector allows starting this project as soon as financing is available. There are no special conditions to be implemented prior to the project start. All components of the project will be implemented in parallel. Resources of the project could be moved to strengthen activities in another component, if unforeseen reasons don't allow implementing activities in all components as originally foreseen.

Success of the implementation of the project will depend on the integration of the project activities into the Ministry's activities. Therefore long-term advisors on integrated project management and enforcement should be placed in appropriate units of the Ministry. The Ministry staff (in particular from Integration, Project management, State environmental inspection) should be available not only to contribute to the implementation of project activities and ensure implementation of project results, but also to receive training. The Ministry will form a Steering Committee to supervise the implementation of the project activities and nominate a Project manager who will be directly responsible for the coordination with other activities. The Ministry will provide all available information, which can be important for the implementation of the project activities.

Annex 1			Program name and number: LI9805	Date of drafting: 10 of April, 1998
LOGFRAME PLANNING MATRIX FOR PROJECT Strengthening of Institutional Capacity of EPML in the EU Integration Process			Contracting period expires: 2000	Disbursement period expires: 2001
<i>Project Number LI9805.01</i>			Total Budget:(MECU)	Phare contribution: 2.05 (MECU)
Wider Objective	Indicators of Achievement*	How, When and By Whom Indicators Will Be Measured	Assumptions and Risks	
<ul style="list-style-type: none"> Address recommendations made to the Lithuanian Government by the Commission in Agenda 2000 and Accession Partnership documents Approximation of Lithuania's laws in line with requirements of the Strategy for Approximation in the Environmental Sector Strengthen the capacity of Lithuania's environmental administration 	<ul style="list-style-type: none"> EU requirements are transposed and implemented according to timetable, presented in Approximation Strategy and National Program for the Adoption of the Acquis Institutional set-up for implementation of Community requirements is proposed, responsibilities are clarified Lithuania is evaluated as making substantial progress in implementation of Community requirements by DGXI 	<ul style="list-style-type: none"> Delegation for preparation for negotiations - once per 6 months Management of the Environmental Protection Ministry - continuously, Steering Committee - quarterly European Commission (screening and evaluation missions according to agreed timetable) 	<ul style="list-style-type: none"> EU membership is continuing to be main foreign policy direction for the Lithuanian Government Environmental administration has strong support from the Government Lithuanian Environmental Protection Ministry has strong commitment and resources to organize approximation process in environmental sector 	
Immediate Objectives	Indicators of Achievement*	How, When and By Whom Indicators Will Be Measured	Assumptions and Risks	
<ul style="list-style-type: none"> Transposition of framework legislation Establishment and commencement of implementation programs Development of implementation, monitoring and enforcement structures Development of skills in EC law, project preparation and management, enforcement Improvement of access to Community requirements 	<ul style="list-style-type: none"> EU requirements are transposed and implemented according to timetable, presented in Approximation Strategy and National Program for the Adoption of the Acquis Start of implementation of requirements in waste and water sectors Documentation Center is operational and has translations of all main directives and regulations 	<ul style="list-style-type: none"> Delegation for preparation for negotiations - reporting about progress made each 6 months Results of Screening process DGXI missions and annual evaluation reports 	<ul style="list-style-type: none"> The Ministry is fully committed to implement Approximation Strategy Appropriate partnership for the project implementation group is ensured from the Ministry side Ministry has European integration and Project management units operational 	

Outputs	Indicators of Achievement*	How, When and By Whom Indicators Will Be Measured	Assumptions and Risks
----------------	-----------------------------------	--	------------------------------

<ul style="list-style-type: none"> • Major reports: <ul style="list-style-type: none"> • Inception (1 month) • First interim (4 months) • Second Interim (7 months) • Draft Final (11 months) • Final (12 months) • Report on evaluation of current environmental administration system and proposal for improvement • Drafting of Statutes for new institutions • Translations of EC directives and regulations (according to the list in Guide) and publishing of translations • Translation of Lithuanian laws and regulations to English • Support to the EC Documentation Center in EPML • Training of officials in transposition, implementation and enforcement of Community requirements • Development of pipeline of projects • Development of Approximation Financing Strategy • Report on evaluation of enforcement structures and proposal for development • Development of Training program for inspectors • Report on priority needs for enforcement structures • Supply of identified priority needs 	<ul style="list-style-type: none"> • Timely delivery of reports and programs and acceptance by clients • Translation of all main texts of directives and regulations included into Guide • At least 5 draft laws/regulations prepared • Documentation Center has all main EC environmental legislation and procedures for updating of information • Supplied equipment, agreed in priority list • Pipeline of projects established for period until 2002 	<ul style="list-style-type: none"> • Steering Committee: <ul style="list-style-type: none"> • for reports - in two weeks after receiving of report • for other activities - during Steering Committee meetings • EPML project coordinator - continuously • EPML management - during meetings of Integration Supervisory Council 	<ul style="list-style-type: none"> • Participation of EPML staff in working groups and cooperation during evaluation of administration systems • Participation of EPML specialists in quality assurance of translations • Appropriate capacity of Translations Bureau (Ministry of Foreign Affairs) to give official status for translated documents • Willingness of the EPML to consider possible reorganization of current environmental administration system • Participation of the Ministry officials and inspectors in training
--	--	---	---

Inputs	Indicators of Achievement*	How, When and By Whom Indicators Will Be Measured	Assumptions and Risks
<ul style="list-style-type: none"> • International long term and short term experts • Local experts • Support staff • Documentation, equipment and other resources for European Integration Unit, Project Management Unit and State Environmental Inspectorate • Resources for translations • Office space for the project implementation group 	<ul style="list-style-type: none"> • Funds are allocated as foreseen in project budget • Office space is provided 	<ul style="list-style-type: none"> • Project implementation group • Steering Committee • Project Management Unit • European Commission 	<ul style="list-style-type: none"> • Qualified and capable company or consortium is selected to implement the project. • Qualified local specialists are attracted • The Ministry is providing necessary support, including office space in European integration and Project management units

* Must be **quantified** and **measurable**

Annex 3: Implementation schedule LI 9805.01

		DURA-	98 Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	99 Jan	Feb	March	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec
	TASK NAME	TION	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22
1	Investment Project (C1)																							
2	Tender																							
3	Define project	4 weeks	****																					
4	Prepare ToR	4 weeks		****																				
5	ToR approved	4 weeks			****																			
6	Launch tender	0 weeks				*																		
7	Prepare proposals	6 weeks				****	**																	
8	Tender evaluation	2 weeks						**																
9	Award contract	2 weeks									**													
10	Project implementation																							
11	Project mobilisation	4 weeks									**	**												
12	Advance payment (20%)	0 weeks										**												
13	Start date	0 week										**												
14	Start-up meeting	0,2 week										*												
15	1st Phase (inception)	6 weeks											****	**										
16	1st tranche payment (25%)	0 weeks													**									
17	2nd Phase	22 weeks												**	****	****	****	****	****					
18	2nd tranche payment (35%)	0 weeks																		**				
19	3rd and final phase	20 weeks																		****	****	****	****	
20	Final tranche payment	0 weeks																						**
	CASHFLOW (thousand Ecu)											410			512					718				410

Plunge

		DURA-	98 Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	99 Jan	Feb	March	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec
	TASK NAME	TION	1			2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
1	Investment Project (C1)																							
2	Tender																							
3	Define project	6 weeks	****	**																				
4	Prepare TS	8 weeks		**	****	**																		
5	TS approved	2 weeks				**																		
6	Launch tender	0 weeks					juil-01		Sept.01															
7	Prepare proposals	4 weeks							****															
8	Tender evaluation	2 weeks								**														
9	Award contract	1 week								*														
10	Project implementation																							
11	Project mobilisation	4 weeks								*	***													
12	Start date	0 week									Nov. 15													
13	Start-up meeting	0,2 week									*													
14	1st Phase	17 weeks									*	****	****	****	****									
15	1st tranche payment	0 weeks													*									
16	2nd Phase	23 weeks													*	****	****	****	****	****	*			
17	2nd tranche payment	0 weeks																		*				
18	3rd Phase	14 weeks																		****	****	****	**	
19	Final review	2 weeks																						**
20	3rd and final tranche payment	0 weeks																						**

	TASK NAME	DURATION	98 May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	99 Jan	Feb	March	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec
			1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
1	Investment Project (C2)																					
2	Tender																					
3	Define project	4 weeks	****																			
4	Prepare TS	6 weeks		****	**																	
5	TS approved	2 weeks			**																	
6	Launch tender	0 weeks				Aug.01																
7	Prepare proposals	4 weeks					****															
8	Tender evaluation	2 weeks						**														
9	Award contract	1 week						*														
10	Project implementation																					
11	Project mobilisation	1 week							**													
12	Start date	0 week							Nov.15													
13	Start-up meeting	0,2 week							*													
14	1st Phase	17 weeks								****	****	****	****									
15	1st tranche payment	0 weeks											*									
16	2nd Phase	23 weeks											*	****	****	****	****	****	*			
17	2nd tranche payment	0 weeks																	*			
18	3rd Phase	14 weeks																	****	****	****	**
19	Final review	2 weeks																				**
20	3rd and final tranche payment	0 weeks																				**

Monitoringas

		DURA-	98 Jul	Aug.	Sept.	Oct.	Nov.	Dec.	99 Jan	Feb	March	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec		
	TASK NAME	TION	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
1	Investment Project (C3)																					
2	Tender																					
3	Define project	6 weeks	****	**																		
4	Prepare TS	8 weeks		**	****	**																
5	TS approved	2 weeks				**																
6	Launch tender	0 weeks					Nov. 01															
7	Prepare proposals	2 weeks					**															
8	Tender evaluation	1 week					*															
9	Award contract	1 week					*															
10	Project implementation																					
11	Project mobilisation	1 week						**														
12	Start date	0 week						Dec. 15														
13	Start-up meeting	0,2 week						*														
14	1st Phase	7 weeks						*	****	**												
15	1st tranche payment	0 weeks								*												
16	2nd Phase	11 weeks								**	****	****				****	****	****	*			
17	2nd tranche payment	0 weeks											*						*			
18	3rd Phase	7 weeks											****	***					****	****	****	**
19	Final review	1 weeks												*								**
20	3rd and final tranche payment	0 weeks												*								**

Fondas

		DURA-	98 May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	99 Jan	Feb	March	Apr	May	Jun	Jul	Aug	Sept
	TASK NAME	TION	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17
1	Investment Project (C4)																		
2	Tender																		
3	Define project	6 weeks	*****	**															
4	Prepare TS	8 weeks		**	*****	**													
5	TS approved	2 weeks				**													
6	Launch tender	0 weeks					Sept.01												
7	Prepare proposals	4 weeks					*****												
8	Tender evaluation	2 weeks						**											
9	Award contract	1 week						*											
10	Project implementation																		
11	Project mobilisation	1 week							*										
12	Start date	0 week							Nov.15										
13	Start-up meeting	0,2 week							*										
14	1st Phase	17 weeks								*****	*****	*****	*****						
15	1st tranche payment	0 weeks											*						
16	2nd Phase	23 weeks											*	*****	*****	*****	*****	*****	*
17	2nd tranche payment	0 weeks																	*
18	3rd Phase	14 weeks																	*****
19	Final review	2 weeks																	
20	3rd and final tranche payment	0 weeks																	

Oct	Nov	Dec
18	19	20
****	*****	**
		**
		**

Annex 4

COMMITMENT (CONTRACT) SCHEDULE									Drafting date: April '98
Sub-project: LI9805.01									Planning period: 1998
Programme Title: Environmental institutional development									
Projects:	Expected Contractual Commitments (Quarters)								Allocation
				Planned					Cost estimate MECU
	I 98	II 98	III 98	IV 98	I 99	II 99	III 99	IV 99	
Institutional development				2,050	2,050	2,050	2,050	2,050	2,050
TOTAL				2,050	2,050	2,050	2,050	2,050	2,050

DISBURSEMENT (PAYMENT) SCHEDULE									Drafting date: April '98
Sub-project: LI9805.01									Planning period: 1998
Programme Title: Environment institutional development									
Projects:	Disbursement (Payment) Schedule Quarters								Allocation
				Planned					Cost estimate MECU
	IV 98	I 99	II 99	III 99	IV 99	I 2000	II 2000	III 2000	
Institutional development		0,410	0,778	1,140	1,165	2,050	2,050	2,050	2,050
TOTAL		0,410	0,778	1,140	1,165	2,050	2,050	2,050	2,050